

APR 11 2002
U.S. PATENT & TRADEMARK OFFICE

March 22, 2002



ORIGINAL FILED
COPY OF PAPERS

MP/4
2

Box MISSING PARTS
Honorable Commissioner for Patents
Washington, D.C. 20231



RE: Submission of Missing Parts of Application

Inventor: Lamkin et al.

TITLE: BCA WRITER SERIALIZATION MANAGEMENT

USSN: 10/003,704

Filed: October 30, 2001

Confirmation No. 2518

Atty. Docket No.: IA 1501.01A US

Dear Sir:

Enclosed for filing please find the following:

1. Transmittal Form;
2. Fee Transmittal Form;
3. Communication - Submission of Missing Parts of Application;
4. Copy of Notice to File Missing Parts;
5. Executed Declaration by Inventors Lamkin, Blair, and Collart (3 pages);
6. Request for One-Month Extension of Time;
7. Cover Letter;
8. Stamped, Self-Addressed Postcard (Control No. 3FXEDP); and
9. Certificate of Mailing dated March 22, 2002.

Please indicate on the enclosed postcard the date of receipt of the enclosed materials and mail this prepaid postcard to acknowledge receipt of this transmittal.

Very truly yours,

DISCOVISION ASSOCIATES

A handwritten signature in black ink, appearing to read 'Steve A. Wong'.

Steve A. Wong
Supervising Patent Attorney
INTELLECTUAL PROPERTY DEVELOPMENT

SAW:jb
Enclosures

P:\ABG\PPD\IA\1501\01a\ptocvr_101.doc

Please type a plus sign (+) inside this box →

PTO/S13/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

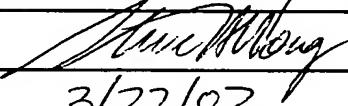
| | | |
|--|--|--|
| TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i> | | Application Number 10/003,704 |
| <i>APR 01 2002</i> | | Filing Date October 30, 2001 |
| | | First Named Inventor LAMKIN, Allan B. |
| | | Group Art Unit 2185 |
| | | Examiner Name Unknown |
| Total Number of Pages in This Submission | Attorney Docket Number IA 1501.01A US | |

COPY OF PAPERS
ORIGINALLY FILED

ENCLOSURES (check all that apply)

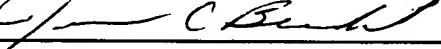
| | | |
|---|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers (for an Application) | <input type="checkbox"/> After Allowance Communication to Group |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment / Reply | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Status Letter |
| <input checked="" type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Terminal Disclaimer | <input type="checkbox"/> Executed Declaration |
| <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Request for Refund | <input type="checkbox"/> (See Remarks) |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> CID, Number of CD(s) _____ | |
| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application | <input type="checkbox"/> Remarks | |
| <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Stamped, Self Addressed Postcard | |
| | <input type="checkbox"/> Cover Letter | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | | |
|-------------------------|---|--|
| Firm or Individual name | DISCOVISION ASSOCIATES -- Intellectual Property Development 2355 Main Street, Suite 200, Irvine, CA 92614 -- U.S.A. -- Tel: (949) 660-5000 | |
| Signature |  | |
| Date | 3/22/02 | |

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 3/22/02

| | | |
|-----------------------|---|---------------------|
| Typed or printed name | JEANNIE C. BENEDICT | |
| Signature |  | Date <u>3/22/02</u> |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

APR 01 2002

PTO/SB/17 (11-01)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR §1.27

TOTAL AMOUNT OF PAYMENT (\$) \$ 980.00

Complete if Known

| | |
|----------------------|------------------|
| Application Number | 10/003,704 |
| Filing Date | October 30, 2001 |
| First Named Inventor | LAMKIN, Allan B. |
| Examiner Name | Unknown |
| Group Art Unit | 2185 |
| Attorney Docket No. | IA 1501.01A US |

COPIED
FILED
OCT 30 2001
U.S. PATENT AND TRADEMARK OFFICE

METHOD OF PAYMENT (check all that apply)

 Check Credit Card Money Order Other None
 Deposit Account:Deposit Account Number **04-1175**Deposit Account Name **DISCOVISION ASSOCIATES**

The Commissioner is hereby authorized to: (check all that apply)

 Charge fee(s) indicated below Credit any overpayments

 Charge any additional fee(s) during the pendency of this application

 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

Large Entity | Small Entity

| Fee Code | Fee \$ | Fee Code | Fee \$ | Fee Description | Fee Paid |
|----------|--------|----------|--------|------------------------|---------------|
| 101 | 740 | 201 | 370 | Utility filing fee | 740.00 |
| 106 | 330 | 206 | 165 | Design filing fee | |
| 107 | 510 | 207 | 255 | Plant filing fee | |
| 108 | 740 | 208 | 370 | Reissue filing fee | |
| 114 | 160 | 214 | 80 | Provisional filing fee | |

SUB TOTAL (1) (\$) **740.00**

2. EXTRA CLAIM FEES

| Extra Claims | Fee from Below | Fee Paid |
|---|--|----------|
| Total Claims <input type="text"/> -20**= <input type="text"/> | <input type="text"/> x <input type="text"/> = <input type="text"/> | |
| Independent Claims <input type="text"/> -3***= <input type="text"/> | <input type="text"/> x <input type="text"/> = <input type="text"/> | |
| Multiple Dependent <input type="text"/> | <input type="text"/> = <input type="text"/> | |

Large Entity | Small Entity

| Fee Code | Fee \$ | Fee Code | Fee \$ | Fee Description |
|----------|--------|----------|--------|--|
| 103 | 18 | 203 | 9 | Claim in excess of 20 |
| 102 | 84 | 202 | 42 | Independent claims in excess of 3 |
| 104 | 280 | 204 | 140 | Multiple dependent claim, if not paid |
| 109 | 84 | 209 | 42 | ** Reissue independent claims over original patent |
| 110 | 18 | 210 | 9 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$)

** or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large Entity | Small Entity

| Fee Code | Fee \$ | Fee Code | Fee \$ | Fee Description | Fee Paid |
|----------|--------|----------|--------|--|------------------|
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | \$ 130.00 |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 147 | 2,520 | 147 | 2,520 | For filing a request for ex parte reexamination | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | Extension for reply within first month | \$ 110.00 |
| 116 | 400 | 216 | 200 | Extension for reply within second month | |
| 117 | 920 | 217 | 460 | Extension for reply within third month | |
| 118 | 1,440 | 218 | 720 | Extension for reply within fourth month | |
| 128 | 1,960 | 228 | 980 | Extension for reply within fifth month | |
| 119 | 320 | 219 | 160 | Notice of Appeal | |
| 120 | 320 | 220 | 160 | Filing a brief in support of an appeal | |
| 121 | 280 | 221 | 140 | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | |
| 141 | 1,280 | 241 | 640 | Petition to revive - unintentional | |
| 142 | 1,280 | 242 | 640 | Utility issue fee (or reissue) | |
| 143 | 460 | 243 | 230 | Design issue fee | |
| 144 | 620 | 244 | 310 | Plant issue fee | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 | Processing fee under 37 CFR 1.17(q) | |
| 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt. | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | |
| 146 | 740 | 246 | 370 | Filing a submission after final rejection (37 C.F.R. §1.129(a)) | |
| 149 | 740 | 249 | 370 | For each additional invention to be examined (37 CFR §1.129(b)) | |
| 179 | 740 | 279 | 370 | Request for Continued Examination (RCE) | |
| 169 | 900 | 169 | 900 | Request for expedited examination of a design application | |

Other fee (specify) _____

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) **240.00**

SUBMITTED BY

Complete (if applicable)

| | | | | | |
|--------------------|---|------------------|--------|-----------|----------------|
| Name Printed/Typed | Steve A. Wong | Registration No. | 37,968 | Telephone | (949) 660-5047 |
| Signature |  | | | Date | 3/22/02 |

WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:
Lamkin et al.

Filed: October 30, 2001

Serial No.: 10/003,704

For: BCA WRITER SERIALIZATION
MANAGEMENT

§ Art Unit: 2185
§
§ Examiner: Unknown
§
§ Confirmation No. 2518
§
§ Attorney Docket Number:
§ IA 1501.01A US

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COMMUNICATION
SUBMISSION OF MISSING PARTS OF APPLICATION

BOX MISSING PARTS
Commissioner for Patents
Washington, D.C. 20231

Sir:

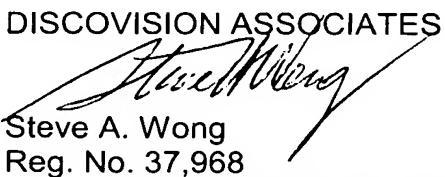
In response to the Notice To File Missing Parts of Nonprovisional Application Under 37 CFR 1.53(b) which was mailed on December 28, 2001, Applicant submits herewith:

1. Copy of Notice to File Missing Parts.
2. An executed Declaration by Inventors Lamkin, Blair, and Collart.
3. Request for One-Month Extension of Time
4. Fees as calculated on attached Fee Transmittal FY 2002.

The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account Number 04-1175 in connection with this application.

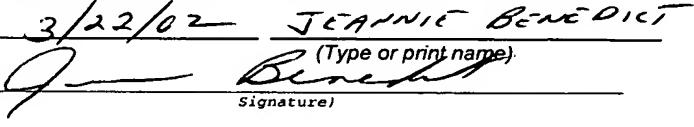
Respectfully submitted,

DISCOVISION ASSOCIATES


Steve A. Wong
Reg. No. 37,968

Date: March 22, 2002

DISCOVISION ASSOCIATES
INTELLECTUAL PROPERTY DEVELOPMENT
P.O. Box 19616
Irvine, California 92623
949-660-5000

| | |
|--|---------|
| CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8 | |
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Honorable Commissioner for Patents, Washington, D.C., 20231 | |
| on: | 3/22/02 |
| JEANNIE BENEDICT (Type or print name) | |
|  Signature) | |



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|---------------------------|---------------------|-----------------------|------------------------|
| 10/003,704 APR 01 2002 | 10/30/2001 | Allan B. Lamkin | 71751 |

22242
FITCH EVEN FARIN AND FLANNERY
120 SOUTH LA SALLE STREET
SUITE 1600
CHICAGO, IL 606033406

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CONFIRMATION NO. 2518

FORMALITIES LETTER



OC000000007238226

Date Mailed: 12/28/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 435.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE